A Probabilistic Programming by Demonstration Framework Handling Constraints in Joint Space and Task Space

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Abstract—We present a probabilistic architecture for solving generically the problem of extracting the task constraints through a Programming by Demonstration (PbD) framework and for generalizing the acquired knowledge to various situations. We propose an approach based on Gaussian Mixture Regression (GMR) to find automatically a controller for the robot reproducing the essential characteristics of the skill by handling simultaneously constraints in joint space and in task space. Experiments with two 5-DOFs Katana robots are then presented with two manipulation tasks consisting of handling and displacing a set of objects.

I. INTRODUCTION

Robot Programming by Demonstration (RbD) covers methods by which a robot learns new skills through human guidance. In previous work, we presented an approach to teach gestures to a HOAP-3 humanoid robot by providing a set of demonstrations performed in slightly different situations. Through the use of Gaussian Mixture Model (GMM), the robot could extract autonomously the essential characteristics of the set of trajectories captured through the demonstrations [1], [2]. Then, Gaussian Mixture Regression (GMR) was used to retrieve a generalized version of the trajectories either in joint space (characterized by a set of postures changing through time) [3], or in task space (characterized by the 3D Cartesian position of the hand relative to the objects in the scene) [2]. To find a controller for the robot that takes into account constraints both in joint space and in task space, as well as the kinematic redundancy of the humanoid arm, we previously proposed two approaches: (1) a method based on Jacobian computation using Lagrange optimization [1]; and (2) a geometric inverse kinematics approach for a 4 DOFs humanoid arm, by representing the motion of the arm by the 3D Cartesian path of the hand and by an additional parameter representing the elevation of the elbow with respect to a vertical plane [2]. Even if these approaches provided solutions for the reproduction of a set of constraints represented in different data spaces, they still lacked generality when the skill required to handle simultaneously task space and joint space variables. Indeed, in [1], a metric of imitation performance had to be analytically derived to find an optimal controller for the reproduction. In



Fig. 1. Illustration of the process used to retrieve a skill by considering constraints on different objects in task space (first two rows) as well as constraints in joint space (last row). The pseudoinverse Jacobian matrix J^{\dagger} is used to project the GMM representation of the constraints in task space to a corresponding representation in joint space. As the different GMMs are encoded in the same data space, an optimal solution can then be computed through GMR by multiplying the resulting distributions using the product properties of Gaussian distributions. Note that by projecting a Gaussian distribution from task space to joint space through the Jacobian, we implicitly assume that we can approximate the nonlinear projection function by the locally linear transformation J^{\dagger} , i.e., that the local transformation remains valid for the span of data represented by the covariance matrix of the Gaussian distribution [4].

[2], the geometric approach was pre-specified for the robot considered and could not be directly applied to more complex robot architectures such as the 5 DOFs Katana robots that we consider here.

In this paper, we thus propose a new approach to find an optimal controller for the reproduction of the skill, which is based on simple statistical properties of Gaussian distributions. The approach allows to handle constraints on multiple objects in task space and in joint space simultaneously, and can be used generically for different robot architectures.

A. Related work

Generic approaches to transfer new skills to a robot are those that allow the robot to extract automatically what are the important features characterizing the skill and to search for a controller that optimizes the reproduction of these characteristic features. A key concept at the bottom of these approaches is that of determining a *metric of imitation performance*. One must first determine the metric, i.e. determine the weights one must attach to reproducing each of the components of the skill. It is then possible to find an optimal controller for imitation by trying to minimize this metric (e.g., by evaluating several reproduction attempts or by deriving the metric to find an optimum). The metric acts as a cost function for the reproduction of the skill [5]. In other terms, a metric of imitation provides a way of expressing

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quantitatively the user's intentions during the demonstrations and to evaluate the robot's faithfulness at reproducing those.

To learn the metric (i.e. infer the task constraints), one common approach consists of creating a model of the skill based on several demonstrations performed in slightly different conditions. This generalization process consists of exploiting the variability inherent to the various demonstrations to extract which are the essential components of the task. These essential components should be those that remain invariant across the various demonstrations.

A large body of work explored the use of a symbolic representation to both the learning and the encoding of skills and tasks, see e.g. [6], [7]. The main advantage of a symbolic approach is that high-level skills (consisting of sequences or hierarchies of symbolic cues) can be learned efficiently through an interactive process. However, because of the symbolic nature of their encoding, these methods rely on a large amount of prior knowledge to predefine the important cues and to segment those efficiently.

Another body of work focusses on representing the task constraints at a trajectory level to avoid putting too much prior knowledge in the controllers required to reproduce a skill. Following this approach, Ude et al [8] use spline smoothing techniques to deal with the uncertainty contained in several demonstrations of motion performed in *joint space* or in task space. The Mimesis Model [9] follows an approach in which a Hidden Markov Model (HMM) is used to encode a set of trajectories, and where multiple HMMs can be used to retrieve new generalized motions based on a stochastic process. In [10], the variability across the demonstrations made by different demonstrators is used to quantify the accuracy required to achieve a Pick & Place task. The different trajectories form a boundary region that is then used to define a range of acceptable trajectories. In [11], a set of sensory variables is acquired by the robot when demonstrating a manipulation task consisting of arranging different objects. At each time step, the mean and variance of the collected variables are computed and stored by the robot. The sequence of means and associated variance is then used as a simple generalization process, providing respectively a generalized trajectory and associated constraints. The drawbacks of this approach are: (1) the system is memory-based and requires to keep all historical data, which can lead to a scaling-up problem (see the rapid development of sensors for humanoid robots exploiting various modalities); (2) as RbD considers only a few demonstrations of the task, using simple statistics is usually not sufficient to guarantee the generation of trajectories that are smooth enough to be replayed by the robot; and (3) the constraints concerning the correlation across the different variables are not extracted.

B. Proposed approach

Several regression techniques based on *Locally Weighted Regression* (LWR) were proposed in robotics to generalize over a set of demonstrations [12], [13]. Our approach follows a similar strategy by using *Gaussian Mixture Model* (GMM) and *Gaussian Mixture Regression* (GMR) [14] to respectively encode a set of trajectories and retrieve a smooth generalized version of these trajectories and associated variabilities. The advantage of this approach is that the dataset is encoded in a compact representation learned through the efficient Expectation-Maximization algorithm, which allows to deal with recognition and reproduction issues in a common probabilistic framework.

For an exhaustive review and comparisons of our approach with the different methods proposed above, the interested reader can refer to [15], [16]. We also showed in [3] that it was possible to use this framework to learn a skill incrementally (without having to keep each demonstration in memory).

To control redundant manipulators in task space, several inverse kinematics solutions were proposed mainly based on local resolutions methods. The most simple Jacobianbased solution consists of computing the Moore-Penrose pseudoinverse of the Jacobian matrix representing the inverse mapping between the joint variables and task variables. Methods based on gradient projection were proposed to locally optimize a cost function in the null space of the Jacobian, where the cost function could take various forms [17]-[19]. The method was then extended successfully to handle hierarchy of constraints for whole-body motion control of humanoid robots [20]. Alternative approaches were proposed by imposing additional constraints in task space to be executed along with the original task through an extended Jacobian method [21]. The approach was successfully applied to robotic applications handling multiple task constraints by using an augmented task space formulation of the inverse kinematics problem and by setting different priorities to the constraints [22]-[25]. Grochow et al [26] proposed an alternative strategy for computer graphics animation of avatars by resolving the redundancy of the inverse kinematics problem based on the observation of a set of human motions, which then guided the search of a solution that looks similar to natural human gestures.

Our approach follows in essence a similar strategy by combining several constraints expressed both in task space and in joint space. However in our framework, the search for an inverse kinematics solution is facilitated by the user implicitly providing through his/her demonstrations possible solutions for the resolution of the task, thus restricting the search space of the robot for inverse kinematics solutions. To do so, our approach follows a simple strategy by: (1) computing several inverse kinematics solutions solving the different constraints in task space; and (2) by combining these constraints with the ones represented initially in joint space. For the first part of the process, a pseudoinverse Jacobian method with optimization in the null space is used [17], in order to keep the motion in joint space as close as possible to the demonstrated joint angle trajectories. The advantage of this approach is that it can be directly used within our probabilistic representation of the task constraints through Gaussian Mixture Regression (GMR).

The remainder of this paper is organized as follows. Section II-A presents the probabilistic encoding of the skill.

TABLE I

PROBABILISTIC ENCODING OF THE TASK CONSTRAINTS AND GENERALIZATION THROUGH GAUSSIAN MIXTURE REGRESSION (GMR).

- The dataset $\xi = \{\xi_j\}_{j=1}^N$ is defined by N observations $\xi_j \in \mathbb{R}^D$ of sensory data changing through time, where each demonstration is rescaled to a fixed duration T. Each datapoint $\xi_j = \{t_j, \xi_j^S\}$ consists of a temporal value $t_j \in \mathbb{R}$ and a spatial vector $\xi_j^S \in \mathbb{R}^{(D-1)}$.
- The dataset ξ is first modelled by a *Gaussian Mixture Model* (GMM) of K components (the optimal number of components is estimated here through *Bayesian Information Criterion* (BIC) [27]). Each datapoint ξ_j is then defined by its probability density function

$$p(\xi_j) = \sum_{k=1}^{K} \pi_k \, \mathcal{N}(\xi_j; \mu_k, \Sigma_k),$$

where π_k are prior probabilities and $\mathcal{N}(\mu_k, \Sigma_k)$ are Gaussian distributions defined by centers μ_k and covariance matrices Σ_k , whose temporal and spatial components can be represented separately as

$$\mu_k = \{\mu_k^T, \mu_k^S\}, \quad \Sigma_k = \begin{pmatrix} \Sigma_k^{TT} & \Sigma_k^{TS} \\ \Sigma_k^{ST} & \Sigma_k^{SS} \end{pmatrix}$$

- For each component k, the expected distribution of ξ_j^S given the temporal value t_j is defined by

$$\begin{split} p(\xi_j^S|t_j,k) &= \mathcal{N}(\xi_j^S;\hat{\xi}_k^S,\hat{\Sigma}_k^{SS}), \\ \hat{\xi}_k^S &= \mu_k^S + \Sigma_k^{ST}(\Sigma_k^{TT})^{-1}(t_j - \mu_k^T) \\ \hat{\Sigma}_k^{SS} &= \Sigma_k^{SS} - \Sigma_k^{ST}(\Sigma_k^{TT})^{-1}\Sigma_k^{TS}. \end{split}$$

• By considering the complete GMM, the expected distribution is defined by

$$p(\xi_j^S | t_j) = \sum_{k=1}^K \beta_{k,j} \ \mathcal{N}(\xi_j^S; \hat{\xi}_k^S, \hat{\Sigma}_k^{SS}),$$

where $\beta_{k,j}=p(k|t_j)$ is the probability of the component k to be responsible for $t_j,$ i.e.,

$$\beta_{k,j} = \frac{p(k)p(t_j|k)}{\sum_{i=1}^{K} p(i)p(t_j|i)} = \frac{\pi_k \mathcal{N}(t_j; \mu_k^T, \Sigma_k^{TT})}{\sum_{i=1}^{K} \pi_i \mathcal{N}(t_j; \mu_i^T, \Sigma_i^{TT})}.$$

• By using the linear transformation property of Gaussian distributions, an estimation of the conditional expectation of ξ_j^S given t_j is thus defined by $p(\xi_j^S|t_j) \sim \mathcal{N}(\hat{\xi}_j^S, \hat{\Sigma}_j^{SS})$, where the parameters of the Gaussian distribution are defined by

$$\hat{\xi}_{j}^{S} = \sum_{k=1}^{K} \beta_{k,j} \, \hat{\xi}_{k}^{S} \,, \quad \hat{\Sigma}_{j}^{SS} = \sum_{k=1}^{K} \, \beta_{k,j}^{2} \, \hat{\Sigma}_{k}^{SS} \,.$$

• By evaluating $\{\hat{\xi}_j^S, \hat{\Sigma}_j^{SS}\}$ at different time steps $t_j \in [0, T]$, a generalized form of the trajectories $\hat{\xi} = \{t_j, \hat{\xi}_j^S\}$ and associated covariance matrices $\hat{\Sigma} = \{\hat{\Sigma}_j^{SS}\}$ representing the constraints along the task can then be computed.

Section II-B presents a probabilistic inverse kinematics solution for the reproduction of the skill. Sections III and IV present the experiment on two Katana robots, which is then discussed in Section V.

II. PROBABILISTIC FRAMEWORK

A. Encoding and generalization

We consider in this paper datasets both in joint space and in task space, where $\xi = \theta$ represents the joint angle trajectories of the robot, and $\xi = x$ represents the position of the end-effector in a Cartesian space with respect to the objects detected in the scene. Table I presents the procedure for the encoding of the skill through cross-situational observations.

Reproduction of the skill by detecting N objects with initial positions $\{o^{(n)}\}_{n=1}^N$.

OFFLINE PROCESSING AND INITIALIZATION

· Compute the direct kinematics function of the robot's arm analytically

$$x = f(\theta) \left(= [f_{x_1}(\theta) \ f_{x_2}(\theta) \ f_{x_3}(\theta)]^\top \right).$$

· Derive the Jacobian matrix analytically

$$I(\theta) = \begin{pmatrix} \frac{\partial f_{x_1}(\theta)}{\partial \theta_1} & \frac{\partial f_{x_1}(\theta)}{\partial \theta_2} & \dots & \frac{\partial f_{x_1}(\theta)}{\partial \theta_6} \\ \dots & \dots & \dots & \dots & \dots \\ \frac{\partial f_{x_3}(\theta)}{\partial \theta_1} & \frac{\partial f_{x_3}(\theta)}{\partial \theta_2} & \dots & \frac{\partial f_{x_3}(\theta)}{\partial \theta_6} \end{pmatrix}.$$

· Compute the pseudoinverse of the Jacobian matrix

$$\Delta x = J(\theta) \Delta \theta \iff \Delta \theta = J^{\dagger}(\theta) \Delta x, \text{ where } J^{\dagger} = (J^{\top}J)^{-1} J^{\top}.$$

· Initialize the starting posture and the starting position

Τ

$$\theta_0 = \hat{\theta}_0, \quad x_0 = f(\hat{\theta}_0)$$

LOOP FOR
$$t_j = 0 \rightarrow$$

- LOOP FOR $n = 1 \rightarrow N$
- Compute the expected Δ -values (or velocities) and associated covariance matrices for the constraints relative to Object n (I represents the identity matrix, and $\alpha = 0.5$ is a weight factor)

$$\begin{split} & \Delta \theta_{j+1}^{(n)} = J^{\dagger}(\theta_j) \Delta x_{j+1}^{(n)} + \alpha \left(I - J^{\dagger}(\theta_j) J(\theta_j) \right) \left(\hat{\theta}_{j+1} - \theta_j \right), \\ & \text{ where } \quad \Delta x_{j+1}^{(n)} = (o^{(n)} + \hat{x}_{j+1}^{(n)}) - x_j, \\ & \Sigma_{j+1}^{(n)} = J^{\dagger}(\theta_j) \; \hat{\Sigma}_{j+1}^{x(n)} \left(J^{\dagger}(\theta_j) \right)^{\top}. \end{split}$$

END LOOP n

- Compute the expected Δ -value and associated covariance matrix in joint space

$$\Delta \theta_{j+1}^{(N+1)} = \hat{\theta}_{j+1} - \theta_j , \qquad \Sigma_{j+1}^{(N+1)} = \hat{\Sigma}_{j+1}^{\theta}.$$

• Compute the new posture (and associated covariance matrix) by evaluating $\prod_{n=1}^{N+1} \mathcal{N}(\Delta \theta_{j+1}^{(n)}, \Sigma_{j+1}^{(n)})$, which represents the joint probability of the different constraints considered

$$\theta_{j+1} = \theta_j + \left(\sum_{n=1}^{N+1} (\Sigma_{j+1}^{(n)})^{-1}\right)^{-1} \left(\sum_{n=1}^{N+1} (\Sigma_{j+1}^{(n)})^{-1} \Delta \theta_{j+1}^{(n)}\right),$$

$$\Sigma_{j+1} = \left(\sum_{n=1}^{N+1} (\Sigma_{j+1}^{(n)})^{-1}\right)^{-1}.$$
(1)

- The new position of the end-effector is then defined by $x_{j+1} = f(\theta_{j+1}).$ END LOOP t_j

B. Reproduction by considering multiple constraints

By using the encoding method presented above, the constraints in task space are computed by considering the objects detected by the robot in its environment. The constraints associated with the position of the end-effector with respect to an object *n* are thus represented by the trajectories $\hat{x}^{(n)}$ and associated covariance matrices $\hat{\Sigma}^{x(n)}$. Similarly, the constraints in joint space are represented by $\hat{\theta}$ and $\hat{\Sigma}^{\theta}$. These constraints can be mutually exclusive in the robot's workspace, i.e., the generalization in joint space does not necessary coincide with the generalization in task space. To find a controller for the robot satisfying several constraints simultaneously, we then propose to use the probabilistic properties of the Gaussian distributions to compute an appropriate tradeoff during the inverse kinematics process.

The reproduction procedure is illustrated in Fig. 1 and presented in Table II. Eq. (1) computes a trade-off based



Fig. 2. *Top:* Kinesthetic demonstrations of the two tasks considered, namely grasping and placing a glass on a coaster (*left*), and grasping and emptying a glass (*right*). *Bottom:* Reproduction of the skill by the two robots where the initial positions of the objects are tracked by a stereoscopic vision system.

on the variabilities observed during the demonstrations to determine the respective relevance of the constraints in joint space and in task space (see also Fig. 6). If one wants to use a controller satisfying the constraints in joint space only, (1) can be replaced by $\theta_{j+1} = \theta_j + \Delta \theta_{j+1}^{(N+1)}$. Similarly, if one wants to use a controller satisfying the constraints in task space for a specific object n, (1) can be replaced by $\theta_{j+1} = \theta_j + \Delta \theta_{j+1}^{(N)}$.

III. EXPERIMENTAL SETUP

The setup of the experiment is presented in Fig. 2. Two 5 DOFs *Katana* robots from *Neuronics* are used for the experiment, characterized by a repeatability of ± 0.1 mm and a maximum speed of 68°/sec. A sixth motor controls the opening and closing status of the gripper, which is generated through a binary signal generalized over the multiple demonstrations, as proposed in [1]. Each motor is equipped with encoders which allows the user to move the robot manually while registering joint angle information (see Fig. 2). During this process, the position of the end-effector is computed through direct kinematics.

Two different skills are considered in the experiment, namely setting the table by grasping a glass on a shelf and placing it on a coaster, and clearing the table by grasping the glass from the table and emptying the glass in a basin. For the first task, two objects are tracked by the robot (the glass and the coaster), where the positions of the two objects can vary. For the second task, only one object is tracked by the robot, i.e., we assume that the glass covers the coaster and that the basin is at a fixed position in the robot's workspace.



Fig. 3. Left: Five demonstrations for the two tasks in 3D Cartesian space. For the first task, the initial positions of the glass placed on a shelf are represented with '+' signs. The initial positions of the coaster on the table are represented with 'x' signs. For the second task, the initial positions of the glass (covering the coaster) are represented with '+' signs. *Right:* Reproduction of the skill for new situations (bold '+' and 'x' signs), by combining constraints in joint space and in task space. The Cartesian trajectories are represented in the robot's frame of reference (see Fig. 2), where the dots indicate the beginning of the motions.

A stereoscopic vision system is used to track a set of objects in 3D Cartesian space, based on tracking in *YCbCr* color space of colored patches attached to the objects (only *Cb* and *Cr* are used to be robust to changes in luminosity). The images from two webcams of 320×240 pixels are processed at a frame rate of 15 Hz by the *OpenCV* vision processing software, where each object to track is pre-defined in a calibration phase by fitting a Gaussian distribution on the *CbCr* subspace characterizing the color of the object. The error obtained between various positions of an object measured by the vision system and their real positions is 5.9 ± 2.8 mm.¹

IV. EXPERIMENTAL RESULTS

Fig. 3 *left* shows the five demonstrations for the two tasks. Figs. 4 and 5 show the extracted constraints for the two tasks. Fig. 3 *right* shows the reproduction for a new situation (new initial positions of the objects), during which the essential features of the skill are reproduced. Fig. 6 shows how the constraints in joint space and task space influence the reproduction of the skill. For the first task, the actions directed toward the glass are first of the most importance. Then, the ones directed toward the coaster predominate. We see that the controller determined by the system smoothly switches from the generalized movement directed toward the glass (see e.g. x_1 at time steps 200-500) to the generalized

¹For a complete description of the vision tracking system, the interested reader can refer to [28].



Fig. 4. Automatic extraction of the constraints for TASK 1 (the corresponding joints and frames of reference are depicted in Fig. 2), both in task space (the first two columns represent the constraints on the different objects observed) and in joint space (third column). GMMs with 4 Gaussian components are found to efficiently encode the skill (for each representation). The associated GMR representation is also depicted. We see that the trajectories relative to the glass are highly constrained between time steps 200 and 500, i.e., when reaching for the glass. The trajectories relative to the coaster are highly constrained at the end of the motion, when placing the glass on the coaster.



Fig. 5. Automatic extraction of the constraints for TASK 2, where GMMs with 5 Gaussian components are found to efficiently encode the skill (for each representation). We see that the trajectories relative to the glass are highly constrained between time steps 200 and 400 (when reaching for the glass). Then, the trajectories in joint space are more constrained (at the end of the motion), when emptying the glass in the basin by using a specific gesture. The snapshots below the graphs illustrate a reproduction attempt by automatically selecting a controller that smoothly reproduces the extracted constraints.

movement directed toward the coaster (see e.g. x_1 at time steps 700-1000). For the second task, the trajectories relative to the glass are first highly important (to reach for the glass in Cartesian space), and then give way to a controller in joint space (to empty the glass by tilting it). We see that the controller smoothly switches from a controller in task space (see e.g. θ_5 at time steps 200-400) to a controller in joint



Fig. 6. Reproduction attempts for the two tasks considered in the experiment, by considering the different constraints extracted either independently or simultaneously. The trajectories in *solid line* show the final reproduction attempt considering the constraints in task space and in joint space simultaneously. The trajectories in *dash-dotted line* consider only the constraints for the first object in task space. The ones in *dotted line* (for the first task) consider only the constraints for the second object in task space. The ones in *dashed line* consider only the constraints in joint space. We see that the final controller in *solid line* smoothly reproduces the essential features of the skill by adapting the extracted constraints to the new situation. For the first task, ① and ② correspond respectively to the time when the robot grasps the glass and discards it on the coaster. For the second task, ① and ③ correspond respectively to the time when the robot grasps the glass by tilting it appropriately.

space (see e.g. θ_5 at time steps 600-1000).

V. DISCUSSION AND FURTHER WORK

During the reproduction process (see Table II), the generalized joint angle trajectories $\hat{\theta}$ are used twice: (1) in the null space of the Jacobian matrix to optimize the inverse kinematics process when considering the constraints in task space; and (2) to compute the final controller in joint space by taking into consideration all the constraints. Note that in the null space, the use of $\hat{\theta}$ only acts as an additional optimization of the IK process (if possible), while the computation for the final controller considers each constraint as relevant to the reproduction of the skill (weighted by the variabilities observed during the demonstrations).

The proposed approach presents advantages over our previous attempts at combining several constraints encoded in different data spaces through a GMM/GMR representation. Compared to the use of Lagrange optimization to derive a metric of imitation performance [1], the proposed method does not require to analytically derive the cost function. It is then more generic and remains statistically sound. Compared to the geometric inverse kinematics approach used in [2], [3], the approach proposed here can be extended to different robot architectures. Moreover, this direct computation approach allows to compute the resulting constraints (1) for the final controller in the form of a covariance matrix by using the product properties of Gaussian distributions.

For the experiments presented here, the whole computation (using *Matlab*) took less than one minute and is thus satisfying for a teaching application where the demonstration phase and reproduction phase are separated. Further work aims at: (1) investigating more complex interactions where the demonstrations and reproductions are more tightly intertwined; (2) coupling the proposed learning approach with a dynamical controller to be dynamically robust to perturbations and changes in the environment [29] when reproducing the skill; and (3) extending the approach to a scaffolding process where the two *Katana* robots are simultaneously controlled in order to explore more complex coordination tasks [30].

VI. CONCLUSION

We presented a probabilistic framework to extract automatically the essential features characterizing a skill by handling constraints both in joint space and in task space, and proposed an inverse kinematics method to re-use the learned skill in new situations. We then demonstrated through experiments performed on two *Katana* robots that the approach could be applied successfully to learn generically new manipulation skills at a trajectory level by generalizing over several demonstrations and by extending the learned skills to new positions of objects.

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